

# 19 December 2024 322-24

Approval report – Application A1300

Vitamin K2 (as Menaquinone-7) as a permitted form of Vitamin K in FSMP

Food Standards Australia New Zealand (FSANZ) has assessed an application made by Novozymes Australia Pty Ltd to amend the Australia New Zealand Food Standards Code to permit the use of Vitamin  $K_2$  (as menaquinone-7) as a permitted form of vitamin K in food for special medical purposes.

On 13 September 2024, FSANZ sought submissions on a draft variation and published an associated report. FSANZ received four submissions.

FSANZ approved the draft variation on 11 December 2024. The Food Ministers' Meeting<sup>1</sup> was notified of FSANZ's decision on 19 December 2024.

This Report is provided pursuant to paragraph 33(1)(b) of the *Food Standards Australia New Zealand Act 1991* (the FSANZ Act).

<sup>&</sup>lt;sup>1</sup> Formerly referred to as the Australia and New Zealand Ministerial Forum on Food Regulation

## Table of contents

E)	EXECUTIVE SUMMARY		
1	INTR	ODUCTION	3
	1.1	THE APPLICANT	. 3
	1.2	THE APPLICATION	. 3
	1.3	THE CURRENT STANDARD	. 3
	1.3.1	Food for special medical purposes	. 3
	1.3.2	Permitted use	. 3
	1.3.3	Identity and purity	. 4
	1.3.4	Labelling requirements	. 4
	1.4	INTERNATIONAL STANDARDS	
	1.4.1	Codex Alimentarius (Codex)	. 5
	1.4.2	United States (US)	. 5
	1.4.3	European Union (EU)	. 5
	1.5	REASONS FOR ACCEPTING APPLICATION	
	1.6	PROCEDURE FOR ASSESSMENT	. 5
	1.7	DECISION	. 5
2 SUMMARY OF THE FINDINGS		~	
2	2010	MARY OF THE FINDINGS	6
2	2.1	SUMMARY OF ISSUES RAISED IN SUBMISSIONS	-
2			. 6
2	2.1	SUMMARY OF ISSUES RAISED IN SUBMISSIONS	. 6
2	2.1 2.2 2.3 <i>2.3.1</i>	SUMMARY OF ISSUES RAISED IN SUBMISSIONS RISK ASSESSMENT RISK MANAGEMENT Background to overarching risk management strategies in Standard 2.9.5	6 6 7 7
2	2.1 2.2 2.3 <i>2.3.1</i>	SUMMARY OF ISSUES RAISED IN SUBMISSIONS RISK ASSESSMENT RISK MANAGEMENT	6 6 7 7
2	2.1 2.2 2.3 2.3.1 2.3.2 2.3.2	SUMMARY OF ISSUES RAISED IN SUBMISSIONS	6 6 7 7 8 9
2	2.1 2.2 2.3 2.3.1 2.3.2 2.3.2	SUMMARY OF ISSUES RAISED IN SUBMISSIONS RISK ASSESSMENT RISK MANAGEMENT Background to overarching risk management strategies in Standard 2.9.5 Required permission for substances that may be added to FSMP Labelling requirements Risk management conclusion	6 7 .7 .8 .9 .9
2	2.1 2.2 2.3 2.3.1 2.3.2 2.3.2	SUMMARY OF ISSUES RAISED IN SUBMISSIONS RISK ASSESSMENT RISK MANAGEMENT Background to overarching risk management strategies in Standard 2.9.5 Required permission for substances that may be added to FSMP Labelling requirements Risk management conclusion RISK COMMUNICATION	6 7 . 7 . 8 . 9 . 9 . 9 10
2	2.1 2.2 2.3 2.3.2 2.3.2 2.3.3 2.3.4 2.4 2.4 2.4	SUMMARY OF ISSUES RAISED IN SUBMISSIONS	6 7 . 7 . 8 . 9 . 9 . 9 10 10
2	2.1 2.2 2.3 2.3.1 2.3.2 2.3.3 2.3.4 2.4 2.4 2.5	SUMMARY OF ISSUES RAISED IN SUBMISSIONS	6 6 7 7 8 9 9 10 10 10
2	2.1 2.2 2.3 2.3.2 2.3.2 2.3.2 2.3.4 2.4 2.4 2.4 2.5 2.5.1	SUMMARY OF ISSUES RAISED IN SUBMISSIONS	6 6 7 7 8 9 9 10 10 10 10
2	2.1 2.2 2.3 2.3.1 2.3.2 2.3.3 2.3.4 2.4 2.4 2.5	SUMMARY OF ISSUES RAISED IN SUBMISSIONS	6 6 7 7 8 9 9 10 10 10 10
3	2.1 2.2 2.3 2.3.1 2.3.2 2.3.3 2.3.4 2.4 2.4 2.5 2.5.1 2.5.2	SUMMARY OF ISSUES RAISED IN SUBMISSIONS	6 7 7 8 9 9 10 10 10 10 11
	2.1 2.2 2.3 2.3.2 2.3.3 2.3.4 2.4 2.4 2.5 2.5.1 2.5.2 <b>REFE</b>	SUMMARY OF ISSUES RAISED IN SUBMISSIONS	6 7 7 8 9 9 10 10 10 10 11 13

#### Supporting document

The following document which informed the assessment of this application is available on the A1300 page on the <u>FSANZ website</u>:

SD1 Risk and technical assessment – Application A1300

## **Executive summary**

Food Standards Australia New Zealand (FSANZ) has assessed an application made by Novozymes Australia Pty Ltd to amend the Australia New Zealand Food Standards Code (the Code) to permit the use of vitamin  $K_2$  (as menaquinone-7) as a permitted form of vitamin K in food for special medical purposes (FSMP). FSMP partially or totally replace the daily diet and are recommended for use under medical supervision.

Division 3 of Standard 2.9.5 of the Code permits substances that may be added to FSMP, including vitamins and their permitted forms (see paragraph 2.9.5—6(1)(a) which refers to substances that are listed in Column 1 of the table to section S29—20 and that are in a corresponding form listed in Column 2 of that table).

In the Code, vitamin K is currently explicitly permitted for use in FSMP as vitamin K<sub>1</sub> (as phylloquinone) (see section S29—23)<sup>2</sup>. FSANZ undertook an assessment to determine the bioavailability and nutritional equivalence of menaquinone-7 (MK-7) in comparison with phylloquinone. The assessment also considered whether there were any safety concerns from the use of MK-7 in FSMP. Based on the available evidence, FSANZ considers that MK-7 is a safe and bioavailable form of vitamin K.

FSANZ's safety and technical risk assessment concluded there is no evidence of a public health and safety concern associated with the use of MK-7 as a permitted form of vitamin K in FSMP under the existing regulatory measures of Standard 2.9.5.

Following assessment and the preparation of a draft variation, FSANZ called for submissions regarding the draft variation from 13 September 2024 to 11 October 2024. Four submissions were received, all of which supported the draft variation.

Based on the information above and on other relevant considerations set out in this report, FSANZ has approved the draft variation proposed following assessment without change. The approved draft variation will amend the table to section S29—20 of the Code to include vitamin K<sub>2</sub> (menaquinone-7) in the list of permitted forms of vitamin K that may be added to FSMP. The effect of the approved draft variation will be to permit the use of vitamin K<sub>2</sub> (as MK-7) as a form of vitamin K in FSMP in accordance with the Code.

<sup>&</sup>lt;sup>2</sup> Proposal P1028 – Infant formula was gazetted on 13 September 2024. In a consequential amendment to this proposal, the table to S29—7 that was described in the A1300 call for submissions was reinserted at S29—23. In this Approval Report, the table to S29—23 will therefore be described in substitution to the table to S29—7 that was referenced in the call for submissions.

## 1 Introduction

## 1.1 The applicant

Novozymes Australia Pty Ltd (Novozymes), a subsidiary of Novonesis, is a biotechnologybased company covering the consumer, agricultural and industrial sectors.

## 1.2 The application

The purpose of this application is to amend the Australia New Zealand Food Standards Code (the Code) to permit the use of vitamin  $K_2$  (as menaquinone-7) as a permitted form of vitamin K in food for special medical purposes (FSMP). The dietary intake of vitamin K is recommended by the Nutrient Reference Values for Australia and New Zealand (NRV) (NHMRC 2006), and the applicant intends to add menaquinone-7 (MK-7) as a form of vitamin K to FSMP.

There are two main biologically active forms of vitamin K: phylloquinone (vitamin  $K_1$ ), and menaquinone (vitamin  $K_2$ ). The application states that MK-7 is a specific form of vitamin  $K_2$ , with a unique chemical structure and metabolism that affects the bioavailability and potential health outcomes. Vitamin K compounds, including MK-7, are fat-soluble vitamins, are considered an essential cofactor in humans, and are naturally occurring in food.

This application does not propose any variation to the existing compositional, labelling or other existing Code requirements for FSMP.

## 1.3 The current Standard

Australian and New Zealand food laws require food for sale to comply with relevant provisions in the Code. The provisions relevant to this application are summarised below.

#### 1.3.1 Food for special medical purposes

Standard 2.9.5 – Food for special medical purposes regulates the sale, composition and labelling of FSMP. A FSMP is defined in section 1.1.2—5 to mean a food specially formulated for the dietary management of individuals:

- by way of exclusive or partial feeding, who have special medically determined nutrient requirements or whose capacity is limited or impaired to take, digest, absorb, metabolise or excrete ordinary food or certain nutrients in ordinary food; and
- ii) whose dietary management cannot be completely achieved without the use of the food.

A FSMP is a food that is represented as being a food for special medical purposes or for the dietary management of a disease, disorder or medical condition. A FSMP is intended to be used under medical supervision.

By definition, a FSMP cannot be an infant formula product or a food specially formulated for the dietary management of overweight and obesity and which is not a very low energy food.

#### 1.3.2 Permitted use

'Food for special medical purposes' are foods specially formulated for the dietary management of individuals (including children and adults) with certain diseases, disorders or medical conditions. FSMP are required when the dietary management of individuals cannot be easily or completely achieved with other dietary modification including the use of other

special purpose foods. FSMP includes formulated dietary products that are intended for use as the sole source of nutrition, either consumed orally or through an enteral route, in addition to specialised supplementary formulated products. Food regulated by Standard 2.9.5 is intended to be used under medical supervision. Due to the specialised nature and purpose of these foods, this standard also includes a restriction on the premises at which, and the persons by whom, FSMP may be sold to consumers.

Subsection 2.9.5— $6(1)^3$  of the Code permits the addition of the following substances to FSMP:

- (a) a substance that is listed in Column 1 of the table to section S29—20 and that is in a corresponding form listed in Column 2 of that table;
- (b) a substance that is listed in Column 1 of the table to section S29—23 and that is in a corresponding form listed in Column 2 of that table;
- (c) any other substance, regardless of its form, that is permitted under this Code to be added to a food, if that substance is added in accordance with any applicable requirement of this Code.

Paragraph 2.9.5—6(1)(b) of the Code permits the addition of substances listed in Column 1 of the table to section S29—23 to FSMP, expressly permitting the addition of vitamin K in the permitted form of vitamin K<sub>1</sub> as phylloquinone (phytonadione).

This application seeks to include vitamin  $K_2$  (as menaquinone-7) as a permitted form of vitamin K in the table to section S29—20, which lists the substances which may be added to FSMP.

Section 2.9.5—7 of the Code includes compositional requirements for FSMP that are represented as being suitable for use as a sole source of nutrition, including minimum and maximum amounts of each vitamin that these foods must contain. This application does not seek to amend the minimum level or impose a maximum level for vitamin K set in the table to section S29—21.

#### 1.3.3 Identity and purity

Subsection 1.1.1—15(2) of the Code requires that a substance used as a nutritive substance must comply with any relevant specification set out in Schedule 3 ('Identity and purity'). Therefore, Vitamin  $K_2$  (as MK-7) would be required to comply with the existing specification outlined in paragraph S3—3(b). No new specification will be required in Schedule 3.

#### **1.3.4 Labelling requirements**

Subsection 1.1.1—10(8) of the Code requires that food for sale must comply with all relevant labelling requirements in the Code for that food.

Paragraph 2.9.5—3(b) states that unless the contrary intention appears, Part 1.2 – 'Labelling and Other Information Requirements' does not apply to FSMP. Instead, Division 4 of Standard 2.9.5 sets out labelling requirements specific to FSMP, with section 2.9.5—9 detailing the mandatory information that must be provided on the label of FSMP. Paragraph 2.9.5—9(1)(h) provides that the label that is required for FSMP must state the nutrition information in accordance with section 2.9.5—13. Section 2.9.5—13 requires the minimum amount or average quantity of any vitamin that has been used as a nutritive substance in the food to be provided.

<sup>&</sup>lt;sup>3</sup> In a consequential amendment to Proposal P1028, paragraph 2.9.5—6(1)(b) was amended to reference section S29—23 in substitution of S29—7 which was described in the A1300 call for submissions (see footnote 2 above for further detail).

## 1.4 International standards

In developing food regulatory measures, Food Standards Australia New Zealand (FSANZ) must have regard to the promotion of consistency between domestic and international food standards. In terms of food safety, the relevant international standard setting body is the Codex Alimentarius Commission (Codex).

#### 1.4.1 Codex Alimentarius (Codex)

Codex has not established compositional standards relating to foods which may be considered FSMP internationally, except for foods used in weight control diets (Codex 2023a) and very low energy diets for weight reduction (Codex 2023b). In Australia and New Zealand, the definition of FSMP in section 1.1.2—5 of the Code excludes a food which is specially formulated for the dietary management of overweight and obese and which is not a very low energy food. Irrespective of the current requirements of the Code in this regard, the Codex standards mentioned above do not specify permitted forms for nutrients, including vitamin K.

Codex has, however, established a list of permitted forms for nutrients for FSMP for infants and young children (Codex 2023c; Codex 2023d). Vitamin K is permitted in the form of vitamin  $K_1$ . As mentioned, Codex does not define the compositional requirements for FSMP or define a list of substances used for nutritive purposes in FSMP.

#### 1.4.2 United States (US)

Vitamin  $K_2$  (as MK-7) has been determined as 'Generally Recognized as Safe' (GRAS) in the US to be added to nutritional beverages (GRAS notice GRN 887) via the GRAS process system, with a US Food and Drug Administration (FDA) 'no questions' letter (US FDA 2020). The nutritional beverages category that is the subject of this notification covers equivalent products to FSMP.

#### 1.4.3 European Union (EU)

Menaquinone (occurring principally as MK-7) is permitted to be used as a source of vitamin K in both Foods for Special Medical Purposes and Total Diet Replacement Products for Weight Control in Regulation (EU) No 609-2013 (EU 2013).

## **1.5** Reasons for accepting application

The Application was accepted for assessment because:

- it complied with the procedural requirements under subsection 22(2) of the Food Standards Australia New Zealand Act 1991 (FSANZ Act), and
- it related to a matter that warranted the variation of a food regulatory measure.

### **1.6 Procedure for assessment**

The application was assessed under the General Procedure.

### 1.7 Decision

For the reasons outlined in this report, the draft variation as proposed following assessment was approved without change. The variation takes effect on gazettal and is at Attachment A.

The related explanatory statement is at Attachment B. An explanatory statement is required to accompany an instrument if it is lodged on the Federal Register of Legislation.

## 2 Summary of the findings

## 2.1 Summary of issues raised in submissions

FSANZ called for submissions on the draft variation to the Code from 13 September 2024 to 11 October 2024.

Four submissions were received, one from a government agency (New Zealand Food Safety), two from industry (Abbott and New Zealand Food and Grocery Council) and one from an industry association (Natural Health Products NZ). All submitters supported the amendment to permit the use of vitamin  $K_2$  (as MK-7) as a permitted form of vitamin K in FSMP.

## 2.2 Risk assessment

FSANZ has assessed the public health and safety risks associated with vitamin K<sub>2</sub> (as MK-7) for its proposed inclusion as a permitted form of vitamin K in FSMP. FSANZ conducted the comprehensive risk assessment following the Codex risk analysis framework based on a weight of evidence approach, combining information and scientific evidence provided by the applicant with independent sources. The risk assessment is included in Supporting Document 1 (SD1). This section provides a summary of this assessment.

The food technology assessment concluded that MK-7 can be added and incorporated in a uniform manner into food products in the same way as other lipid soluble vitamins, including vitamin  $K_1$ . It has good stability at both standard and accelerated storage conditions. There is a specification for MK-7 in the Code with which the applicant's MK-7 would have to comply.

In order to determine whether MK-7 is an equivalent source of vitamin K in the diet, FSANZ considered human studies that measured the absorption of MK-7 and the effect of MK-7 supplementation on biomarkers of vitamin K status. Following supplementation, blood MK-7 concentrations increased compared to placebo or baseline in all studies, with greater levels of absorption compared to vitamin K<sub>1</sub> at similar intake. Supplementation with MK-7 also resulted in an improvement in biomarkers for vitamin K status at doses of 90 to 360 µg/day. FSANZ concluded that, based on the available evidence in human studies, MK-7 is a bioavailable form of vitamin K which would be expected to support normal physiological function at doses of 90 to 360 µg/day. Due to a lack of human studies that compare the bioavailability of MK-7 with vitamin K<sub>1</sub> at current recommended levels, FSANZ cannot determine to what extent MK-7 would support essential requirements for vitamin K at current Adequate Intakes (AI), when it is the only form of vitamin K in the diet. However, FSMP are used under the supervision of a medical practitioner and can be modified as required.

No evidence was identified to indicate that MK-7 would inhibit the absorption of other nutrients.

There is a history of safe human consumption of MK-7 from the diet, and MK-7 is also produced endogenously by gastrointestinal bacteria. No adverse effects of MK-7 were identified in toxicity studies in laboratory animals and clinical studies in humans. Toxicity studies with the structurally related compound MK-4 (menatetrenone) were also considered as supporting evidence. A comparison of estimated dietary intakes of MK-7 to the no observed adverse effect level (NOAEL) in a chronic toxicity study with MK-4 resulted in a large margin of exposure (< 5400), indicating no safety concerns.

There is a potential for interaction between MK-7 and vitamin K antagonist (VKA) anticoagulant drugs, but patients on anticoagulant therapy receive medical advice about the risk of an interaction with vitamin K supplements, and individuals consuming FSMP are under medical supervision.

This risk assessment concluded that there are no public health and safety concerns associated with the use of MK-7 as a permitted form of vitamin K in FSMP.

### 2.3 Risk management

On the basis of the findings of the risk assessment (see section 2.2 of this report and SD1), FSANZ considers the use of vitamin  $K_2$  (as MK-7) for the proposed purpose is both safe and technologically justified. The risk management response to matters raised by the risk assessment is detailed below.

Following assessment, FSANZ prepared a draft variation and called for submissions on the draft variation from 13 September 2024 to 11 October 2024 (the submission period).

The risk management options available to FSANZ after the submission period are to either:

- approve the draft variation proposed following assessment, or
- approve that draft variation subject to such amendments as FSANZ considers necessary, or
- reject that draft variation.

Having regard to the submissions received, for the reasons set out in this report, FSANZ considers it appropriate to approve the draft variation as proposed following assessment without change (see Attachment A).

The approved draft variation will permit the use of vitamin  $K_2$  (as MK-7) as a form of vitamin K in FSMP.

#### 2.3.1 Background to overarching risk management strategies in Standard 2.9.5

Standard 2.9.5 – 'Food for special medical purposes' regulates the sale, composition and labelling of foods specially formulated for the dietary management of individuals (including children and adults) with certain diseases, disorders or medical conditions. FSMP are required when the dietary management of individuals cannot be easily or completely achieved with other dietary modification including the use of other special purpose foods. FSMP includes formulated dietary products that are intended for use as the sole source of nutrition, either consumed orally or through an enteral route (for example, naso-gastric tube), in addition to specialised supplementary formulated products. Food regulated by this standard is intended to be used under medical supervision. Due to the specialised nature and purpose of these foods, this standard also includes a restriction on the premises at which, and the persons by whom, FSMP may be sold to consumers.

Nearly all FSMP are imported from the EU or US, with the majority from the EU. In order to limit the impost on manufacturers and therefore ensure continued supply of these products to Australia and New Zealand, the existing compositional (including permitted forms of nutrients) and labelling requirements in Standard 2.9.5 harmonise where possible with overseas regulations.

Standard 2.9.5 allows manufacturers to vary the micronutrient composition of FSMP from the specified limits for a specific medical purpose (including a particular medical condition, disease or disorder). FSANZ's previous assessments in the development of Standard 2.9.5

considered the potential risk of inadequate or excessive nutrient intakes in both children and adults to be minimal, as FSMP are used under the supervision of medical practitioners and dietitians and the nutritional status of the patient is closely monitored.

#### 2.3.2 Required permission for substances that may be added to FSMP

The addition in the Code of a new permitted form of nutrient for use in FSMP requires an application to FSANZ to amend the Code. If permission to add a new form of nutrient is sought, its bioavailability must be assessed and compared with the current permitted forms. Bioavailability in a nutritional context is the proportion of the ingested nutrient that is absorbed and utilised through normal metabolic pathways. Vitamin K does not have standard equivalence factors to determine bioavailability or equivalence. Therefore, assessment was informed by the body of evidence on the effect of MK-7 supplementation on biochemical markers.

FSANZ concluded that, based on the best available evidence, MK-7 meets its stated purpose as a bioavailable form of vitamin K in FSMP, which in humans would be expected to support normal physiological function. As demonstrated in the assessment of the human bioequivalence studies (see SD1), MK-7 reacts similarly to vitamin K<sub>1</sub> in the body. As described in section 2.3.1 of this report, the micronutrient composition of FSMP can be varied from the specified limits, noting there is no prescribed maximum level for vitamin K.

The application states that the intended purpose is for MK-7 to be used as a permitted form of vitamin K in FSMP, with no specified age restrictions. FSANZ considered the request and completed the assessment within the existing regulatory arrangements. By definition, a FSMP cannot be an infant formula product. However, the FSMP definition does not exclude medical purpose products based on the age for which they are intended. Therefore, according to the definition, a FSMP can include medical purpose products for infants other than infant formula products as defined in Standard 1.1.2 of the Code. For example, Standard 2.9.1 does not include modulatory products such as human milk fortifiers and preterm supplementary products for infants as these do not meet the definition for an infant formula product. Therefore, these products are captured by Standard 2.9.5 and existing regulatory requirements will apply.

FSANZ concluded there was no evidence of a public health and safety concern associated with the use of MK-7 as a permitted form of vitamin K in FSMP under the existing regulatory measures of Standard 2.9.5. As described in section 2.2 of this report, there is the potential for an interaction between vitamin K (including MK-7 and any other forms) and vitamin K antagonist anticoagulant drugs. Individuals receiving anticoagulant therapy are provided with advice about the risk of an interaction with vitamin K supplements. This risk is further mitigated by the requirement that FSMP are consumed under medical supervision.

While there are NRV recommendations (adequate intake, AI) for vitamin K for each life stage, there are no upper levels (UL) of intake set for any form of vitamin K (NHMRC 2006). FSANZ's assessment concluded that MK-7 was well tolerated and not associated with significant adverse events in human clinical studies in which it was administered up to 360  $\mu$ g/day for 12 months, 180  $\mu$ g/day for 3 years or 1080  $\mu$ g/day three times per week for 8 weeks (see SD1). As a result, the use of MK-7 is considered safe for adults, adolescents and children.

The AI of vitamin K for women is consistently 60  $\mu$ g/day, regardless of their pregnancy or lactation status (NHMRC 2006). This is due to vitamin K requirements not differing during pregnancy, and the vitamin K content of human milk being low and not affected by maternal diet (NHMRC 2006). It is therefore expected that the use of MK-7 in FSMP, where prescribed

and supervised by a medical practitioner or dietitian, will not represent a safety concern for this subpopulation.

The NRVs are recommendations for dietary intake in healthy populations. However, individual requirements can vary from these population recommendations particularly in unwell or vulnerable groups. These factors are considered on an individual, case by case basis when FSMP are being supplied to patients. Standard 2.9.5 requires manufacturers to provide information regarding the total volume of their product that is required for nutritional adequacy when used as a sole source of nutrition (for example, nutritionally complete in 1.5 litres) in addition to the nutrient composition of a product. These are then used to assess the nutritional adequacy of a product against disease specific requirements where known, or at least against a cautious application of a NRV where indicated for a medical condition. If it is determined that any nutrients are not complete in a given volume over a long period of time, this would be monitored by the medical practitioner or dietitian. Micronutrient supplements or multivitamin preparations can also be used where required to account for any nutrient deficit and ensure nutritional adequacy.

Very Low Energy Diets (VLED) are regulated under Division 5 of Standard 2.9.5, following the approval of Application A1230 – Very Low Energy Diets. Section 2.9.5—18 involves the prescription of a set nutrient composition, labelling requirements and optional additional intakes for VLED. The A1230 assessment of VLED on the Australia and New Zealand market concluded that among other nutrients, vitamin K met the relevant NRV requirement, and did not compromise nutritional adequacy or safety (FSANZ 2022). Consequently, vitamin K was not found to be a nutritional requirement of VLED, but may be added at the discretion of the manufacturer. As a specific type of FSMP, the permitted forms of nutrients for VLED are included in section S29—23 and section S29—20. As a result, the approved draft variation prepared by FSANZ will permit MK-7 as a source of vitamin K in VLED.

The risk assessment concluded that there is no evidence of a public health and safety concern associated with the use of MK-7 as a permitted form of vitamin K in FSMP within recommended intake levels of vitamin K. This is further supported by the existing requirements in Standard 2.9.5 to manage any risks associated with the proposed use of MK-7 as a form of vitamin K, including its intended use under medical supervision and restrictions relating to access and sale. Therefore, FSANZ approved the draft variation to permit the use of MK-7 as a form of vitamin K in FSMP.

#### 2.3.3 Labelling requirements

The application does not seek to vary any labelling requirements for FSMP. Division 4 of Standard 2.9.5 sets out labelling requirements specific to FSMP. Section 2.9.5—9 sets out the mandatory labelling information required for FSMP, which relevantly include:

- paragraph 2.9.5—9(1)(e) requires the provision of information relating to ingredients. The use of vitamin K<sub>2</sub> (MK-7) as an ingredient in FSMP would require information to be provided in accordance with section 2.9.5—11.
- paragraph 2.9.5—9(1)(h) requires the provision of nutrition information in accordance with section 2.9.5—13. This includes providing the minimum amount or average quantity of any substance listed in the table to section S29—20 that has been used as a nutritive substance in the food (see subparagraph 2.9.5—13(1)(b)(iii). This would apply to the use of MK-7 as a permitted form of vitamin K.

#### 2.3.4 Risk management conclusion

Based on the risk assessment, FSANZ concluded that vitamin  $K_2$  (MK-7) met its stated purpose as a safe and bioavailable form of vitamin K for use in FSMP. No public health and

safety concerns were identified, which was further supported by existing requirements in the Code, including the intended use under medical supervision and restrictions relating to access and sale.

Having weighed all aspects of the assessment against the statutory requirements, including the Ministerial Policy Guidelines, FSANZ has approved a draft variation to the Code to permit the use of vitamin  $K_2$  (as MK-7) as a form of vitamin K in FSMP. Vitamin  $K_2$  (as MK-7) is to be inserted in the table to section S29—20, which sets out substances that may be added to food for special medical purposes and their corresponding permitted forms.

## 2.4 Risk communication

#### 2.4.1 Consultation

Consultation is a key part of FSANZ's standards development process. FSANZ developed and applied a standard communication strategy to this application. All calls for submissions are notified via the Food Standards Notification Circular, media release, FSANZ's social media channels and Food Standards News.

The process by which FSANZ considers standards development matters is open, accountable, consultative and transparent. Public submissions were called to assist consideration of the draft variation to the Code. FSANZ acknowledges the time taken by individuals and organisations to make submissions on this application.

The draft variation was considered for approval by the FSANZ Board having regard to all the submissions made during the call for submissions period.

## 2.5 **FSANZ** Act assessment requirements

When assessing this application and the subsequent development of a food regulatory measure, FSANZ has regard to the following matters in section 29 of the FSANZ Act.

#### 2.5.1 Section 29

#### 2.5.1.1 Consideration of costs and benefits

Changes have been made to the Impact Analysis requirements by the Office of Impact Analysis (OIA)<sup>4</sup>. Impact analysis is no longer required to be finalised with the OIA. Prior to these changes, the OIA advised FSANZ that a Regulation Impact Statement (RIS) was not required for applications relating to the voluntary addition of nutritive substances to foods. This is because applications relating to permitting the use of nutritive substances that have been determined to be safe are considered to be minor and deregulatory in nature, as their use will be voluntary if the draft variation concerned is approved. Under the new approach, FSANZ's assessment is that a RIS is not required for this application.

FSANZ, however, gave consideration to the costs and benefits that may arise from the proposed measure for the purposes of complying with FSANZ Act requirements. The FSANZ Act requires FSANZ to have regard to whether the costs that would arise from the proposed measure outweigh the direct and indirect benefits to the community, government or industry that would arise from the proposed measure (paragraph 29(2)(a)).

The purpose of this consideration was to determine if the community, government, and

<sup>&</sup>lt;sup>4</sup> <u>Regulatory Impact Analysis Guide for Ministers' Meetings and National Standard Setting Bodies | The Office of Impact Analysis (pmc.gov.au)</u>

industry as a whole is likely to benefit, on balance, from a move from the status quo (where the status quo is rejecting the application). This analysis considers the costs and benefits of permitting vitamin  $K_2$  (as MK-7) as a permitted form of vitamin K that may be added to FSMP.

The consideration of the costs and benefits in this section was not intended to be an exhaustive, quantitative economic analysis of the proposed measures and, in fact, most of the effects that were considered cannot easily be assigned a dollar value. Rather, the assessment sought to highlight the potential positives and negatives of moving away from the status quo by permitting vitamin  $K_2$  (as MK-7) for voluntary addition to FSMP.

FSANZ's conclusions regarding the costs and benefits of the proposed measure are set out below. No information was received during the call for submissions that warranted a change to this conclusion.

#### Costs and benefits of permitting vitamin $K_2$ (as MK-7) for voluntary addition to FSMP

Industry may benefit from an additional choice of vitamin K permitted to be used in FSMP. Due to the voluntarily nature of the permission, businesses would only use vitamin  $K_2$  (as MK-7) in FSMP where they believe a commercial net benefit exists for them either reducing the cost of production and/or increasing its quality.

Permitting the proposed use of vitamin  $K_2$  (as MK-7) could result in FSMP of greater quality and/or lower cost to consumers.

Approving the proposed draft variation may result in a small, but likely insignificant cost to government in terms of an addition to the current range of nutritive substances which are monitored for compliance.

#### Conclusions from cost benefit considerations

FSANZ assessment is that the direct and indirect benefits that would arise from permitting vitamin  $K_2$  (as MK-7) as proposed, are likely to outweigh the associated costs.

#### 2.5.1.2 Other measures

There are no other measures (whether available to FSANZ or not) that would be more costeffective than a food regulatory measure developed or varied as a result of the application.

#### 2.5.1.3 Any relevant New Zealand standards

The relevant standards apply in both Australia and New Zealand. There are no relevant New Zealand only Standards.

#### 2.5.1.4 Any other relevant matters

Other relevant matters are considered below.

#### 2.5.2 Subsection 18(1)

FSANZ has also considered the three objectives in subsection 18(1) of the FSANZ Act during the assessment.

#### 2.5.2.1 Protection of public health and safety

FSANZ had undertaken a safety assessment (see section 2.2 of this report and SD1) and

concluded there was no evidence of a public health and safety concern associated with the proposed use of vitamin  $K_2$  (as MK-7) as a permitted form of vitamin K in FSMP under the existing regulatory measures of Standard 2.9.5.

# 2.5.2.2 The provision of adequate information relating to food to enable consumers to make informed choices

Under Standard 2.9.5, FSMP are intended to be used under medical supervision, ultimately allowing medical practitioners and dietitians to determine whether the FSMP is appropriate and safe for their patient's specific needs.

Existing labelling requirements for FSMP apply when vitamin  $K_2$  (as MK-7) is added to FSMP (see sections 1.3.4 and 2.3.3 of this report), which would provide information to assist medical practitioners and dietitians and enable informed consumer choice.

#### 2.5.2.3 The prevention of misleading or deceptive conduct

There are no issues identified with this application relevant to this objective.

#### 2.5.3 Subsection 18(2) considerations

FSANZ has also had regard to:

• the need for standards to be based on risk analysis using the best available scientific evidence

FSANZ used the best available scientific evidence to assess this application. The applicant submitted a dossier of scientific studies as part of this application. FSANZ also had regard to other relevant information including scientific literature in assessing this application.

# the promotion of consistency between domestic and international food standards

FSANZ considered the promotion of consistency between domestic and international food standards and the desirability of an efficient and internationally competitive food industry. The permission provided by the approved draft variation to use vitamin K<sub>2</sub> (as MK-7) as a form of vitamin K in FSMP is consistent with similar permissions for vitamin K<sub>2</sub> (as MK-7) in other countries including United States and Europe. Codex compositional standards relating to foods which may be considered FSMP internationally do not specify permitted forms for nutrients, including vitamin K.

#### • the desirability of an efficient and internationally competitive food industry

The permission provided by the approved draft variation would allow for a competitive food industry in relation to FSMP.

#### • the promotion of fair trading in food

There are no issues identified with this application relevant to this objective.

#### • any written policy guidelines formulated by the Food Ministers' Meeting

The Policy Guideline on the Intent of Part 2.9 of the Food Standards Code – Special Purpose Foods<sup>5</sup> states the composition of special purpose food should be consistent with the intended purpose. Based on FSANZ's assessment, FSANZ considers that the Policy Guideline has been met.

## 3 References

Codex (2023a) Standard for Formula Foods for Use in Weight Control Diets. Codex CXS 181-1991. Codex Alimentarius Commission, Rome. Available online at: <u>https://www.fao.org/fao-who-</u> <u>codexalimentarius/sh-</u> propu/cop/218/ust\_bttps%/2525%/2525%/2525%/2525%/2525%

proxy/en/?lnk=1&url=https%253A%252F%252Fworkspace.fao.org%252Fsites%252Fcodex%252FSta ndards%252FCXS%2B181-1991%252FCXS\_181e.pdf

Codex (2023b) Standard for Formula Foods for Use in Very Low Energy Diets for Weight Reduction. Codex CXS 203-1995. Codex Alimentarius Commission, Rome. Available online at: <u>https://www.fao.org/fao-who-codexalimentarius/sh-</u> proxy/en/?lnk=1&url=https%253A%252F%252Fworkspace.fao.org%252Fsites%252Fcodex%252FSta ndards%252FCXS%2B203-1995%252FCXS\_203e.pdf

Codex (2023c) Advisory Lists of Nutrient Compounds for Use in Foods for Special Dietary Uses Intended for Infants and Young Children. Codex CXG 10-1979. Codex Alimentarius Commission, Rome. Available online at: <u>https://www.fao.org/fao-who-codexalimentarius/sh-proxy/en/?lnk=1&url=https%253A%252F%252Fworkspace.fao.org%252Fsites%252Fcodex%252FSta</u>ndards%252FCXG%2B10-1979%252FCXG\_010e.pdf

Codex (2023d) Standard for Infant Formula and Formulas for Special Medical Purposes Intended for Infants. Codex CXS 72-1981. Codex Alimentarius Commission, Rome. Available online at: <u>https://www.fao.org/fao-who-codexalimentarius/sh-</u> proxy/en/?Ink=1&url=https%253A%252F%252Fworkspace.fao.org%252Fsites%252Fcodex%252FSta ndards%252FCXS%2B72-1981%252FCXS\_072e.pdf

EU (2013) Regulation (EU) No 609/2013 of the European Parliament and of the Council of 12 June 2013 on food intended for infants and young children, food for special medical purposes, and total diet replacement for weight control and repealing Council Directive 92/52/EEC, Commission Directives 96/8/EC, 1999/21/EC, 2006/125/EC and 2006/141/EC, Directive 2009/39/EC of the European Parliament and of the Council and Commission Regulations (EC) No 41/2009 and (EC) No 953/2009. *Official Journal of the European Union*. 56:35-56. Available online at: <a href="https://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:32013R0609">https://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:32013R0609</a>

FSANZ (2022) Application A1230 – Supporting document 1: Nutrition Assessment. FSANZ, Canberra. Available online at: <u>https://www.foodstandards.gov.au/sites/default/files/food-standards-code/applications/Documents/A1230\_SD1%20at%20Approval.pdf</u>

National Health and Medical Research Council (NHMRC), Australian Government Department of Health and Ageing, New Zealand Ministry of Health (NZ MoH) (2006). Nutrient Reference Values for Australia and New Zealand – Vitamin K. National Health and Medical Research Council, Canberra. Available online at: <u>https://www.eatforhealth.gov.au/nutrient-reference-values/nutrients/vitamin-k</u>

US FDA (2020) Agency Response Letter GRAS Notice No GRN 887 (Menaquinone-7, India, Synergia Life Sciences Pvt. Ltd.). Silver Spring (MD): U.S. Food and Drug Administration (US FDA), Center for Food Safety and Applied Nutrition, Office of Food Additive Safety. Available online at: https://www.cfsanappsexternal.fda.gov/scripts/fdcc/index.cfm?set=GRASNotices&id=887

## Attachments

A. Approved draft variation to the Australia New Zealand Food Standards Code

<sup>&</sup>lt;sup>5</sup> <u>https://www.foodregulation.gov.au/resources/publications/policy-guideline-intent-part-29-food-standards-code-special-purpose-foods</u>

## B. Explanatory Statement

# Attachment A – Approved draft variation to the Australia New Zealand Food Standards Code



## Food Standards (Application A1300 – Vitamin K2 (as Menaquinone-7) as a permitted form of Vitamin K in FSMP) Variation

The Board of Food Standards Australia New Zealand gives notice of the making of this variation under section 92 of the *Food Standards Australia New Zealand Act 1991*. The variation commences on the date specified in clause 3 of this variation.

Dated [To be completed by the Delegate]

[Insert Delegate's name and position title] Delegate of the Board of Food Standards Australia New Zealand

#### Note:

This variation will be published in the Commonwealth of Australia Gazette No. FSC XX on XX Month 20XX. This means that this date is the gazettal date for the purposes of clause 3 of the variation.

#### 1 Name

This instrument is the Food Standards (Application A1300 Vitamin K2 (as Menaquinone-7) as a permitted form of Vitamin K in FSMP) Variation.

#### 2 Variation to Standards in the Australia New Zealand Food Standards Code

The Schedule varies a Standard in the Australia New Zealand Food Standards Code.

#### 3 Commencement

The variation commences on the date of gazettal.

#### Schedule

#### Schedule 29 — Special purpose foods

#### [1] Section S29—20 (table item dealing with Vitamins)

Insert:

Vitamin K

Vitamin K<sub>2</sub> (as menaquinone-7)

### Attachment B – Explanatory Statement

#### EXPLANATORY STATEMENT

#### Food Standards Australia New Zealand Act 1991

# Food Standards (Application A1300 – Vitamin K2 (as Menaquinone-7) as a permitted form of Vitamin K in FSMP) Variation

#### 1. Authority

Section 13 of the *Food Standards Australia New Zealand Act 1991* (the FSANZ Act) provides that the functions of Food Standards Australia New Zealand (the Authority) include the development of standards and variations of standards for inclusion in the *Australia New Zealand Food Standards Code* (the Code).

Division 1 of Part 3 of the FSANZ Act specifies that the Authority may accept applications for the development or variation of food regulatory measures, including standards. This Division also stipulates the procedure for considering an application for the development or variation of food regulatory measures.

The Authority accepted Application A1300 which seeks to permit the use of vitamin  $K_2$  (as menaquinone-7) as a form of vitamin K in food for special medical purposes (FSMP). The Authority considered the Application in accordance with Division 1 of Part 3 of the FSANZ Act and has prepared a draft variation - the Food Standards (Application A1300 – Vitamin K2 (as Menaquinone-7) as a permitted form of Vitamin K in FSMP) Variation.

Following consideration by the Food Ministers' Meeting (FMM), section 92 of the FSANZ Act stipulates that the Authority must publish a notice about the draft variation.

#### 2. Variation is a legislative instrument

The approved draft variation is a legislative instrument for the purposes of the *Legislation Act* 2003 (see section 94 of the FSANZ Act) and is publicly available on the Federal Register of Legislation.

This instrument is not subject to the disallowance or sunsetting provisions of the *Legislation Act 2003.* Subsections 44(1) and 54(1) of that Act provide that a legislative instrument is not disallowable or subject to sunsetting if the enabling legislation for the instrument (in this case, the FSANZ Act): (a) facilitates the establishment or operation of an intergovernmental scheme involving the Commonwealth and one or more States; and (b) authorises the instrument to be made for the purposes of the scheme. Regulation 11 of the *Legislation (Exemptions and other Matters) Regulation 2015* also exempts from sunsetting legislative instruments a primary purpose of which is to give effect to an international obligation of Australia.

The FSANZ Act gives effect to an intergovernmental agreement (the Food Regulation Agreement) and facilitates the establishment or operation of an intergovernmental scheme (national uniform food regulation). That Act also gives effect to Australia's obligations under an international agreement between Australia and New Zealand. For these purposes, the Act establishes the Authority to develop food standards for consideration and endorsement by the FMM. The FMM is established under the Food Regulation Agreement and the international agreement between Australia and New Zealand and consists of New Zealand, Commonwealth and State/Territory members. If endorsed by the FMM, the food standards

on gazettal and registration are incorporated into and become part of Commonwealth, State and Territory and New Zealand food laws. These standards or instruments are then administered, applied and enforced by these jurisdictions' regulators as part of those food laws.

#### 3. Purpose

The Authority has approved a draft variation to the Code amending the table to section S29—20 of Schedule 29 to include vitamin  $K_2$  (as menaquinone-7) as a permitted form of vitamin K that may be added to food for special medical purposes (FSMP).

#### 4. Documents incorporated by reference

The approved draft variation prepared by the Authority does not incorporate any documents by reference.

However, existing provisions of the Code incorporate documents by reference that prescribe specifications for the vitamin form permitted in the approved draft variation. Section 1.1.1—15 of the Code requires certain substances (such as substances used as nutritive substances) to comply with any relevant identity and purity specifications listed in Schedule 3 of the Code.

Schedule 3 incorporates documents by reference to set specifications for various substances in accordance with requirements specified in that Schedule. The documents incorporated include: the Joint FAO/WHO Expert Committee on Food Additives (JECFA) Combined Compendium of Food Additive Specifications (FAO JECFA Monographs 26 (2021)); the United States Pharmacopeial Convention (2022) Food Chemicals Codex (13th edition); and the Commission Regulation (EU) No. 231/2012.

#### 5. Consultation

In accordance with the procedure in Division 1 of Part 3 of the FSANZ Act, the Authority's consideration of Application A1300 included one round of public consultation following an assessment and the preparation of a draft variation and associated assessment summary. Submissions were called for on 13 September 2024 for a four-week consultation period.

Changes have been made to the Impact Analysis requirements by the Office of Impact Analysis (OIA)<sup>6</sup>. Impact analysis is no longer required to be finalised with the OIA. Prior to these changes, the OIA advised FSANZ that a Regulatory Impact Statement (RIS) was not needed for applications relating to nutritive substances. This is because applications relating to permitting the use of nutritive substances that have been determined to be safe are considered to be minor and deregulatory in nature as their use will be voluntary if the draft variation concerned is approved. Under this approach, FSANZ's assessment is that a RIS is not required for this application.

#### 6. Statement of compatibility with human rights

This instrument is exempt from the requirements for a statement of compatibility with human rights as it is a non-disallowable instrument under section 44 of the *Legislation Act 2003*.

#### 7. Variation

<sup>&</sup>lt;sup>6</sup> <u>Regulatory Impact Analysis Guide for Ministers' Meetings and National Standard Setting Bodies |</u> <u>The Office of Impact Analysis (pmc.gov.au)</u>

Clause 1 of the draft variation provides that the name of the variation is the *Food Standards* (*Application A1300 Vitamin K2* (as Menaquinone-7) as a permitted form of Vitamin K in FSMP) Variation.

Clause 2 of the draft variation provides that the Code is amended by the Schedule to the variation.

Clause 3 of the draft variation provides that the variation will commence on the date of gazettal of the instrument.

**Item [1]** of the Schedule to the draft variation inserts a new entry into the table to subsection S29—20 of the Code. The new entry inserts "Vitamin K" as a substance that may be added to food for special medical purposes. The new entry also inserts the permitted form of Vitamin K as "Vitamin K<sub>2</sub> (as menaquinone-7)".

The effect of this amendment is to permit the addition of vitamin K in the form of Vitamin  $K_2$  (as menaquinone-7) to FSMP in accordance with the Code.